



ATTORNEY'S DOCKET NO. E0295/7097 RAS  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Blumenau, et al.  
Serial No: 09/343,969  
Filed: June 30, 1999  
For: USER INTERFACE FOR MANAGING STORAGE IN A STORAGE  
SYSTEM COUPLED TO A NETWORK  
Examiner: not yet assigned  
Art Unit: 2771

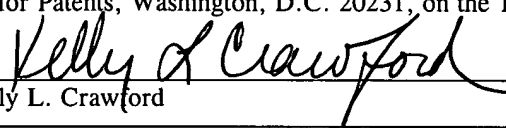
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MAR 06 2001

Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 1<sup>st</sup> day of March, 2001:

  
Kelly L. Crawford

Commissioner for Patents  
Washington, D.C. 20231

Sir:

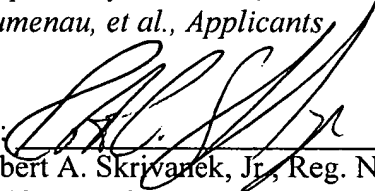
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement with Form PTO-1449 and cited references
- ☒ Return receipt postcard

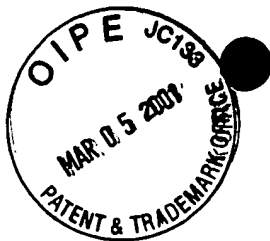
If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
Blumenau, et al., Applicants

By:   
Robert A. Skrivaneck, Jr., Reg. No. 41,316  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. E0295/7097 RAS  
Date: March 1, 2001  
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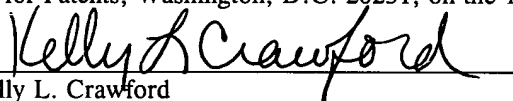
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Kelly L. Crawford

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: - Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants hereby make the following additional information of record in the above-identified application:

1. the Examiner is hereby notified of co-pending and commonly assigned U.S. patent application serial nos. 09/345,216 and 09/345,254, both filed June 30, 1999 that disclose subject matter related to the subject matter disclosed in the present application. A copy of each application is also enclosed; and

2. the Examiner's attention is hereby drawn to the International Search Report mailed February 6, 2001 in PCT Application PCT/US00/17557, filed June 26, 2000, which corresponds to U.S. patent application serial no. 09/345,216.

### PART III: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Respectfully submitted,

*Blumenau, et al., Applicants*

By: 

Robert A. Skrivaneck, Jr., Reg. No. 41,316

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, MA 02210

Telephone (617) 720-3500

Docket No. E0295/7097 RAS

Date: March 1, 2001

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